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DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

1038-1086 MIS:jb

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/647981

INTERNATIONAL APPLICATION NO.  
PCT/CA99/00287

INTERNATIONAL FILING DATE  
April 7, 1999

PRIORITY DATE CLAIMED  
April 7, 1998

TITLE OF INVENTION

HIV-SPECIFIC CYTOTOXIC T-CELL RESPONSES

APPLICANT(S) FOR DO/EO/US

Charles D.Y. Sia, et al.

OCT 0 6 2000

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). - **unsigned copy**
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

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INTERNATIONAL APPLICATION NO.

PCT/CA99/00287

ATTORNEY'S DOCKET NUMBER

1038-1086 MIS:jb

21. The following fees are submitted:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- ☒ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$1,000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	15 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$78.00

\$0.00

\$0.00

Multiple Dependent Claims (check if applicable). ☐

\$0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$1,000.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00

**SUBTOTAL =**

\$1,000.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

**TOTAL NATIONAL FEE =**

\$1,000.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

**TOTAL FEES ENCLOSED =**

\$1,000.00

Amount to be:  
refunded \$  
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- ☒ A check in the amount of \$1,000.00 to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **19-2253** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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**24223**  
PATENT TRADEMARK OFFICE

SIGNATURE

Michael I. Stewart

NAME

24,973

REGISTRATION NUMBER

October 4, 2000

DATE

09/647981

422 Rec'd PCT/PTO 06 OCT 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our Ref: 1038-1086 MIS:jb

In re National Phase of International Application

No.: PCT/CA99/00287

International  
Filing Date: April 7, 1999

Applicant: Charles D.Y. SIA, et al.

Title: HIV-SPECIFIC CYTOTOXIC T-CELL RESPONSES

PRELIMINARY AMENDMENTThe Commissioner of Patents  
and Trademarks,  
Washington, D.C. 20231,  
U. S. A.

Dear Sir:

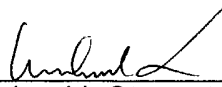
Please amend this application in the following manner:

In the Disclosure:

Before the first line of the specification, add the following:

" REFERENCE TO RELATED APPLICATIONSThis application is a national phase application under 35 U.S.C. 371 of  
PCT/CA99/00287."REMARKSThe specification has been amended on page 1 to reflect that this  
application is a U.S. National Phase filing under 35 U.S.C. 371 of PCT/CA99/00287.

Respectfully submitted,

  
\_\_\_\_\_  
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PTO/PCT Rec'd 06 OCT 2000

TITLE OF INVENTIONHIV-SPECIFIC CYTOTOXIC T-CELL RESPONSESFIELD OF INVENTION

5           The present invention relates to immunology and, in particular, to generating an HIV-specific T-cell response in a host.

BACKGROUND OF THE INVENTION

10           Acquired immunodeficiency syndrome (AIDS) is a disease which is the ultimate result of infection with human immunodeficiency virus (HIV). Currently, there is no effective vaccine which can protect the human population from HIV infection and hence the development of an efficacious HIV-vaccine and protocol for  
15           administering the same is urgently required. Previously, HIV-1 particles exhaustively inactivated by chemical treatments, a vaccinia vector encoding the whole envelope protein (gp160) of HIV-1, and purified recombinant gp120 have been evaluated as candidate HIV  
20           vaccines. Although inactivated HIV-1 virus preparations elicited a T-cell-mediated Delayed-Type Hypersensitivity (DTH) reaction in humans, and vaccinia/gp160 and gp120 recombinant vaccine candidates induced virus neutralizing antibodies, none of these  
25           immunogens have been shown to be efficacious human HIV vaccines. The inventors' interest in HIV vaccinology is to develop synthetic HIV-1 peptide vaccines and consider that their use alone or in conjunction with other HIV-1 vaccine candidates may lead to the  
30           elicitation of more effective immune responses against HIV-1.

          The inventors' had previously described in their granted US Patents Nos.: 5,817,318 (European Patent No.

470,980) and 5,639,854, the disclosures of which are incorporated herein by reference, *inter alia*, the identification and characterization of a T-cell epitope of the core protein, p24E, of HIV-1, and its usage in  
5 the construction of immunogenic synthetic chimeric peptides comprising p24E linked to amino acid sequences of different B-cell epitopes of an envelope or core protein of HIV-1.

The present effort has turned to the design of HIV  
10 vaccines capable of eliciting cell-mediated immunity (CMI) and protocols for the use thereof. In this context, the inventors have focused interest on a viral protein, Rev, expressed early during the life cycle of the HIV-virus, for the reason that the carboxyl  
15 terminal half is rich in human cytotoxic T-cell (CTL) motifs. Peptides which are generated via immunization with an appropriately constructed vaccine containing the Rev protein, therefore, may be presented in the context of the Major Histocompatibility Complex (MHC)  
20 class 1 molecules to induce CTL effector responses capable of killing virus infected cells early to limit virus spread. However, the immunization protocol provided herein is applicable to T-cell epitope containing peptides derived from other HIV proteins.

25 SUMMARY OF THE INVENTION

In accordance with one aspect of the present invention, there is provided a method of generating an HIV-specific cytotoxic T-cell (CTL) response in a host, which comprises:

30 administering to the host a T-helper molecule to prime T-helper cells of the immune system of the host, and

subsequently administering to the host a mixture of said T-helper molecule and a T-cell inducing HIV-

derived molecule to generate an HIV-specific T-cell response in the host.

Accordingly, the immune system of the host, which may be a human host, is primed by any convenient T-helper molecule and then there is subsequently administered the T-helper molecule in admixture with a T-cell inducing molecule. In this way, an HIV-specific T-cell response is obtained.

The T-helper molecule may be any of the materials well known to provide such MHC class II-helper activity in the immune system, including T-cell human DP, DR, DQ-specific T-cell epitopes. The material used as the T-helper molecule in the experimentation described herein is a peptide which corresponds to a portion of the hepatitis B virus nucleocapsid antigen, identified as CLP-243 (SEQ ID NO: 10). The T-helper molecule may be administered with an adjuvant, if desired.

The T-cell inducing HIV-derived molecule generally includes a peptide corresponding to a portion of a HIV-1 antigen and containing at least one T-cell epitope. In particular, the peptides may correspond to sequences of the Rev protein of HIV-1, particularly corresponding to amino acids 52 to 116 (SEQ ID NO:9) (Table 2) of HIV-1 (LAI) Rev (CLP-164). The amino acid sequence of Rev protein is that of the LAI isolate. The invention includes the use of corresponding peptide sequences from Rev proteins from other HIV-1 isolates, including primary isolates.

In the experimentation described herein, the peptide was effective in the protocol described herein when provided in the form of a lipopeptide, particularly when the lipid is palmitoyl or cholesterol. Two particular lipopeptides used herein

are CLP-175 and CLP-176 being the palmitoyl and cholesterol derivatives, respectively, of CLP-164.

The mixtures of the T-helper molecule and T-cell inducing HIV-derived molecule may be administered with  
5 a suitable adjuvant.

The present invention further provides, in another aspect, certain novel peptides derived from the Rev protein of HIV-1. Accordingly, in this aspect of the invention, there is provided a peptide having an amino  
10 acid sequence corresponding to amino acids 52 to 116 (SEQ ID NO:9) of the sequence of the Rev protein of HIV and containing T-cell epitopes within amino acids 65 to 75 (SEQ ID NO:3), 78 to 87 (SEQ ID NO:5) and 102 to 110 (SEQ ID NO:8) (Table 1). Such peptide may be provided  
15 in the form of a lipopeptide including CLP-175 or CLP-176. The specific amino acid sequences of the peptide having SEQ ID NO:9 is that for the LAI isolate of HIV-1. Included within the scope of the invention is the corresponding peptide and corresponding T-cell epitope  
20 sequences of the Rev protein of other HIV-1 isolates, including primary isolates.

Advantages of the present invention include:

- an immunization procedure to induce a T-cell response in a host
- 25 - immunogenic peptides for use in such procedure.

#### BRIEF DESCRIPTION OF DRAWINGS

Figure 1 illustrates the results of *in vitro* HLA-A2 stabilization experiments conducted using certain Rev-derived peptides by FACS (fluorescent antibody cell  
30 sorting). Peptide CLP-72 (SEQ ID NO:8), CLP-182 (SEQ ID NO:7), CLP-178 (SEQ ID NO:3) and CLP-177 (SEQ ID NO:2) bound to HLA-A2 on T2 cells are shown by shifting of the respective fluorescent peaks.

Figure 2, comprising panels A to F, illustrates the immunogenicity of HIV-1 (LAI) Rev immunogens in A2Kb transgenic mice using CLP-175, 176 and 164 (SEQ ID NO:9), with or without priming with CLP-243 (SEQ ID NO:10).

Figure 3, comprising panels A to X, illustrates the HIV-1 (LAI) Rev-specific CTL induction in A2Kb transgenic mice employing various protocols as described below.

#### 10                    DETAILED DESCRIPTION OF INVENTION

The inventors have found that two nanomer peptides, designated CLP-177 (SEQ ID NO:2) and CLP-72 (SEQ ID NO:8), a hexamer designated CLP-178 (SEQ ID NO:3), and a 12-mer designated CLP-182 (SEQ ID NO:7) of the HIV-1(LAI) Rev protein (the amino acid sequences of the respective peptides appear in Table 1), were individually able to bind and stabilize membrane-bound Human Major Histocompatibility Complex (HLA) class 1 molecules, HLA-A2, which is the predominant HLA class 1 subtype found in caucacians. The inventors have also found that a long peptide (SEQ ID NO:9), encompassing the amino acid residues 52 to 116 of the HIV-1(LAI) Rev protein, and constructed by having a single cholesterol or palmitoyl moiety attached to its amino-(N-) terminus via a KSS linker to form lipopeptides, CLP-176 and CLP-175 respectively, is also capable of eliciting CTL as well as antibody responses in HLA-A2 transgenic mice.

On the basis of the experimentation provided herein, there is provided hereby a novel immunization protocol for inducing a HIV-specific cytotoxic T-cell response in a host by initial administration of a T-helper molecule to prime the immune system of the host followed by administration of a mixture of the T-helper



molecule and a T-cell epitope-containing peptide corresponding to a portion of an HIV antigen.

The invention is illustrated herein by using, as the T-helper molecule, a peptide which corresponds to a portion of the hepatitis B virus nucleocapsid antigen. However, other T-helper molecules may be employed, such as those providing MHC class II-helper activity in the immune system.

The invention is illustrated herein by using, as the HIV T-cell epitope containing peptide, certain lipopeptides derived from the Rev protein. However, HIV T-cell epitope containing peptides derived from any other HIV proteins may be employed.

One model has recently been used to predict human CTL antigenic determinants on the basis of the primary sequence (see references 1 to 3, throughout this specification, various references are referred to in parenthesis to more fully describe the state of the art to which this invention pertains. Full bibliographic information for each citation is found at the end of the specification, immediately following the claims. The disclosures of these references are hereby incorporated by reference into the present disclosure). It has been proposed that CTL epitopes which are most favoured to bind and lodge into the peptide-binding groove of the human MHC class I molecule, such as HLA-A2, is usually 9 amino acids long. However, peptides containing 8 to 13 amino acids able to interact with HLA class I molecules have also been reported. In the majority of cases, these peptides are found to contain a leucine (L) or methionine (M) residue at position 2, and either L or valine (V) at their carboxy-terminal ends.

Location of the potential CTL containing motifs of the HIV-1(LAI) Rev protein has been predicted by the

reported peptide-binding motif algorithms. Table 1 shows the amino acid sequences of such predicted peptides (SEQ ID NOS: 1 to 8). The ability of the peptides containing these motifs to bind and stabilize  
5 membrane-bound HLA-A2 molecule was assessed using the T2 cell line. The cell line has been well documented to have defective TAP transporter function resulting in the majority of the intracellularly generated peptides being unable to be transported into the endoplasmic  
10 reticulum to associate with the newly synthesized HLA class 1 molecules, i.e. HLA-A2 (see references 4, 5). The majority of the HLA-A2 molecules displayed on the surface of the T2 cells are, therefore, empty (i.e. contain no peptides) and are unstable. Upon interaction  
15 with suitable peptides introduced exogenously, the stability of the HLA-A2 molecules can be restored.

The results of *in vitro* HLA-A2 stabilization experiments conducted herein demonstrated that two nanomers, namely, CLP-177 (SEQ ID NO:2) and CLP-72 (SEQ  
20 ID NO:8); and a 11-mer and a 12-mer represented by the peptides, namely CLP-178 (SEQ ID NO:3) and CLP-182 (SEQ ID NO:4) respectively; were capable of binding to HLA-A2 on T2 cells. This result was shown by shifting of the respective fluorescent peaks to the right due to  
25 higher density of class 1 molecules displayed on the cells, as shown in accompanying Figure 1. A comparison of the respective fluorescence indices revealed that the potency of the peptides is in the order of CLP-177 > CLP-72 > CLP-178 > CLP-182.

30 The constructions of lipidated Rev peptides which were tested are shown in Table 2. The results depicted in Figure 2 illustrate that lipidated Rev 52 to 116 (SEQ ID NO:9) peptides, CLP-175 and CLP-176; as well as their non-lipidated counterpart, CLP-164, were

immunogenic, as determined by IgG titre, when injected three times at a dose of 100.0 µg into the A2Kb transgenic mice (ref. 6). High IgG antibodies directed against the Rev 52 to 116 peptide (CLP-164) were  
5 detected in animals administered with Incomplete Freund's Adjuvant (IFA)-formulated CLP-175, or CLP-176 or CLP-164 (Panels A, B and C). Mice tested under a different experimental setting by priming them with a dose of CLP-243 in IFA, followed by boosting twice with  
10 a mixture of IFA-formulated CLP-243 + CLP-175, or CLP-243 + CLP-176 or CLP-243 + CLP-164, similarly elicited a high anti-CLP-164 antibody response (Panels D to F). CLP-243 is an I-A<sup>b</sup>-restricted peptide encompassing the amino acids residues 128 to 140 (TPPAYRPPNAPIL; SEQ ID  
15 NO:10) of the hepatitis B virus nucleocapsid antigen (ref. 6).

The results of the immunogenicity experiments demonstrating that the lipopeptides, CLP-176 and CLP-175, were CTL-inducing are shown in Figure 3. A2Kb  
20 transgenic mice primed subcutaneously with a dose of the I-A<sup>b</sup>-restricted peptide, CLP-243 in IFA, and boosted twice using the same immunization route with a mixture of the priming dose of CLP-243 and either 100.0 µg of CLP-176 or CLP-175 in IFA were found to generate  
25 effector cells killing the Jurkat-A2Kb target cells pulsed with the nanomer, CLP-177 (Panels A, B, E, F). The cytotoxic activity of the effectors were specific because Jurkat A2Kb cells not loaded with CLP-177 were not killed (Panels C, D, G and H). In contrast, the  
30 A2Kb transgenic animals injected similarly once with the CLP-243/IFA inoculum, then twice with CLP-243 plus CLP-164 in IFA, failed to elicit a significant CLP-177-specific effector response (Panels I, J, K, L).

The results of immunization experiments demonstrating that priming with the I-A<sup>b</sup>-restricted peptide, CLP-243, followed by boosting with a mixture of CLP-243 and CLP-176 or CLP-175, was more effective  
5 than immunization with the respective lipopeptide alone for the induction of CTL response are shown in Figure 3. It was found that splenocytes of A2Kb transgenic mice injected 3 times subcutaneously with a dose of 100.0 µg of CLP-176, or CLP-175, or CLP-164 (the non-  
10 lipidated Rev 52-116) in IFA, and re-stimulated with CLP-177 pulsed Jurkat A2Kb cells and exogenously added CLP-175 at a concentration of 15.0 µg per ml did not result in the generation of effectors capable of killing Jurkat cells pulsed with the CLP-177 peptide.  
15 (Panels M to X).

The results of the *in vitro* re-stimulation experiments showed that the simultaneous re-stimulation of the CLP-243-specific I-A<sup>b</sup>-restricted T- helper cells achieved by the addition of the CLP-243 peptide, and  
20 the CLP-177-specific effectors achieved by co-culturing them with CLP-177-pulsed Jurkat A2Kb cells was required to augment the enrichment of the CLP-177-specific effectors to allow their detection in the *in vitro* CTL assay.

25 The components are administered in a manner compatible with the dosage formulation, and in such amount as will be therapeutically effective, immunogenic and protective in the immunization protocol. The quantity of material to be administered  
30 depends on the subject to be treated, including, for example, the capacity of the immune system of the individual to synthesize antibodies, and to produce a cell-mediated immune response. Precise amounts of active ingredient required to be administered depend on

the judgement of the practitioner. However, suitable dosage ranges are readily determinable by one skilled in the art and may be of the order of micrograms to milligrams of material. The dosage may also depend on the route of administration and will vary according to the size of the host.

Immunogenicity can be significantly improved if the antigens are co-administered with adjuvants. Adjuvants enhance the immunogenicity of an antigen but are not necessarily immunogenic themselves. Adjuvant may act by retaining the antigen locally near the site of administration to produce a depot effect facilitating a slow, sustained release of antigen to cells of the immune system. Adjuvants can also attract cells of the immune system to an antigen depot and stimulate such cells to elicit immune response.

Immunostimulatory agents or adjuvants have been used for many years to improve the host immune responses to, for example, vaccines. Intrinsic adjuvants, such as lipopolysaccharides, normally are the components of the killed or attenuated bacteria used as vaccines. Extrinsic adjuvants are immunomodulators which are typically non-covalently linked to antigens and are formulated to enhance the host immune responses. Thus, adjuvants have been identified that enhance the immune response to antigens delivered parenterally. Some of these adjuvants are toxic, however, and can cause undesirable side-effects, making them unsuitable for use in humans and many animals. Indeed, only aluminum hydroxide and aluminum phosphate (collectively commonly referred to as alum) are routinely used as adjuvants in human and veterinary vaccines. The efficacy of alum in toxoids is well

established and a HBsAg vaccine has been adjuvanted with alum.

A wide range of extrinsic adjuvants can provoke potent immune responses to antigens. These include  
5 aluminum phosphate, aluminum hydroxide, QS21, Quil A, derivatives and components thereof, calcium phosphate, calcium hydroxide, zinc hydroxide, a glycolipid analog, an octodecyl ester of an amino acid, a muramyl dipeptide, polyphosphazene, a lipoprotein, ISCOM  
10 matrix, DC-Chol, DDBA, and other adjuvants and bacterial toxins, components and derivatives thereof. Particularly advantageous combination are described in copending U.S. Application No. 08/258,228 filed June 13, 1994 and 08/483,856 filed June 7, 1995, assigned to  
15 the assignee hereof and the disclosure of which is incorporated herein by reference thereto (WO 95/34308). Under particular circumstances adjuvants that induce a Th1 response are desirable.

The invention is further illustrated by the  
20 following Examples.

The above disclosure generally describes the present invention. A more complete understanding can be obtained by reference to the following specific Examples. These Examples are described solely for  
25 purposes of illustration and are not intended to limit the scope of the invention. Changes in form and substitution of equivalents are contemplated as circumstances may suggest or render expedient. Although specific terms have been employed herein, such terms are  
30 intended in a descriptive sense and not for purposes of limitation.

#### EXAMPLES

Methods of peptide and lipopeptide synthesis, cell culture, enzyme immunoassays (EIA), CTL assay and other

testing procedures that are not explicitly described in this disclosure are amply reported in the scientific literature and are well within the scope of those skilled in the art.

5    Example 1:

        This Example illustrates the synthesis of peptides and lipopeptides.

        Solid phase peptide syntheses were conducted on an ABI 430A automated peptide synthesizer according to the  
10    manufacturer's standard protocols. The amino acid sequences of the synthesized peptides are shown in Table 1 below.

        Lysine residues designed for subsequent lipidation were incorporated into the peptides by using N<sup>a</sup>-t-butylloxycarbonyl-N<sup>e</sup>-fluorenylmethoxycarbonyl-lysine  
15    (Boc-Lys(Fmoc)-OH). The lipid moieties were incorporated by manual removal of the side chain Fmoc protecting group followed by acylation with the appropriate carboxylic acid activated with O-benzotriazol-1-yl-N,N,N',N'-tetramethyluronium hexa-  
20    fluorophosphate (HBTU) and diisopropylethylamine in dimethylformamide (DMF). The lipopeptides were cleaved from the solid support by treatment with liquid hydrogen fluoride in a presence of thiocresole, anisole  
25    and methyl sulfide. The crude products were extracted with trifluoroacetic acid (TFA) and precipitated with diethyl ether. The lipopeptides and the unlipidated peptides are shown in Table 2.

Example 2:

30    This Example illustrates the method used to demonstrate the HLA-A2 binding and modulation of peptides.

        T2 cell line expressing the HLA-A2 molecules was obtained from Dr Peter Creswell at the Howard Hughes

Research Institute of Yale University. The cells were propagated in Iscove's complete medium (Iscove's medium supplemented with 10% heat-inactivated bovine serum, 120.0 units per ml of penicillin G sodium, 120 µg per ml of streptomycin sulphate, and 0.35 mg per ml of L-glutamine). The ability of individual 8 to 13 mer peptides, prepared as described in Example 1 and identified in Table 1, to bind and modulate the stability of the A2 molecules on T2 cells was determined using a peptide-induced MHC class 1 assembly assay, which was modified from a protocol described by Yuping Deng et al. (ref. 6).

In essence,  $1 \times 10^6$  T2 cells were incubated with a specified concentration of the test peptide in 250.0 µl of Iscove's serum-free medium (Iscove's medium supplemented with 120.0 units per ml of penicillin G sodium, 120.0 µg per ml of streptomycin sulphate and 0.35 mg per ml of L-glutamine) in a sterile Eppendorf tube at 37°C overnight. The cells were then incubated on ice for 30 min before 1.0 ml of Iscove's complete medium supplemented with 5.0 µg per ml of brefeldin A, 12.5 µg per ml of anisomycin and 5.0 µg per ml of cyclohexamide was added. The samples were then incubated for 3.0 hr in a 37°C CO<sub>2</sub> incubator.

In the presence of the drugs, further protein synthesis and intracellular delivery of HLA-A2 molecules to the cell surface are inhibited, and destabilization of the conformation of the membrane-bound class 1 molecules at the physiological temperature occurs.

The cells were then washed twice with ice-cold PBA (a buffer containing 0.9% sodium chloride, 0.5% bovine serum albumin and 0.02% sodium azide). 100.0 µl of PBA containing 5.0 µg of a conformation-sensitive HLA-A2-



specific mouse monoclonal antibody, BB7.2 (ref. 7), was then added to each test sample. The reaction was allowed to take place on ice for 45 min. The cells were then washed three times with ice-cold PBA.

5           The binding of BB7.2 was then detected by adding 100.0 of PBA containing 1.0 µg of goat anti-mouse IgG F(ab') fluorescein (FITC) conjugate to each cell sample. After 30 min incubation on ice, the cells were washed twice with PBA, and twice again with PBS, pH  
10 7.2. Cells were fixed immediately after washing was completed by adding 100.0 µl of 1.0% paraformaldehyde to the cell pellet. The cells were gently resuspended and were FACS analysed usually within three days after the experiments were completed.

15           The fluorescence index, which is an indicator for increased density of membrane-bound A2 molecules, was calculated by dividing the mean fluorescence of an experimental sample (peptide treated T2 cells) by the mean fluorescence of the control sample (T2 cells not  
20 treated with peptide). The results obtained are set forth in Figure 1.

Example 3:

          This Example describes the prime and boost protocol used to test the immunogenicity of the  
25 peptides and lipopeptides.

          Mice of the B10 background which were transgenic for the A2Kb chimeric gene were purchased and licensed from the Scripps Clinic in California, USA. The colony is kept in the Animal Service Facility in Pasteur  
30 Merieux Connaught Canada.

          A first group of the mice were injected subcutaneously at the base of the tail with a dose of 100.0 µg of IFA-formulated peptide or lipopeptide emulsified in IFA and were then boosted at 30 days and

again at 42 to 48 days later with the same inoculum. A second group of mice were injected subcutaneously at the base of the tail with a dose of 100.0 µg of an IFA-formulated CLP-243 and were then boosted with an IFA-formulated mixture of the same dose of the priming immunogen and 100.0 µg of CLP-175, or CLP-176, or CLP-164.

Sera of the experimental animals collected on the 10th or 11th day post final-injection were assayed for CLP-164-specific IgG antibodies using a standard EIA. The results obtained are shown in Figure 2. Splenocytes of the experimental mice were simultaneously cultured to enrich for CTLs before assaying for effector activity, as described below.

Example 4:

This Example illustrates an *in vitro* culture method used to enrich for CTL effectors and CTL assay.

Splenocytes of the experimental A2Kb transgenic mice from Example 3 at  $3.0 \times 10^7$  were co-cultured with  $1.3 \times 10^7$  A2Kb transfected Jurkat cells pulsed with the peptides CLP-175 or CLP 176 in 15.0 ml of complete medium (RPMI 1640 supplemented with 10.0% 56°C heat-inactivated bovine serum, 120.0 units per ml of penicillin G sodium, 120.0 µg per ml of streptomycin sulphate and 0.35 mg per ml of L-glutamine) per 25 cm<sup>2</sup> tissue culture flask. The I-A<sup>b</sup>-restricted peptide, CLP-243, was also added at a concentration of 15.0 µg per ml at the initiation of the culture. The cultures were kept at 37°C in a CO<sub>2</sub> incubator for 7 days, and the responders were then tested against peptide-pulsed Jurkat A2Kb transfectant in a standard *in vitro* 4 hr CTL assay, as follows.

The responders were harvested from the 7-day cultures and washed twice with the complete medium.

The positive target was created by incubating  $1 \times 10^6$  Jurkat A2Kb cells with 100.0  $\mu\text{g}$  of the specified peptide for overnight in a  $37^\circ\text{C}$   $\text{CO}_2$  incubator. The target cells were then labelled with  $^{51}\text{Cr}$  at 250.0 uCi per  $1 \times 10^6$  cells for 1.5 hr in the presence of 25.0  $\mu\text{g}$  of the same test peptides. After washing twice with complete medium to remove excess of  $^{51}\text{Cr}$ , the targets were incubated at  $2.5 \times 10^3$  with different numbers of the responders for 4 hr in a  $37^\circ\text{C}$   $\text{CO}_2$  incubator. Half amount of the supernatant was then removed and counted for radio-activity. The results obtained are shown in Figure 3.

#### SUMMARY OF THE DISCLOSURE

In summary of this disclosure, the present invention provides a novel protocol for achieving a HIV-specific CTL response in a host, including a human host, by a prime/boost procedure using T-helper molecules and lipidated peptides of HIV protein, as well as novel peptides and lipopeptides. Modifications are possible within the scope of the invention.

**TABLE 1**

HLA-A2-restricted CTL motifs of the HIV-1(LAI)Rev protein

	PEPTIDE	SEQUENCE	AMINO ACIDS	SEQ ID NO:
1.	CLP-279	DLIKAVRL	11-18	1
2.	CLP-177	YLGRSAEPV	65-73	2
3.	CLP-178	YLGRSAEPVPL	65-75	3
4.	CLP-179	QLPPLERL	78-85	4
5.	CLP-180	QLPPLERLIL	78-87	5
6.	CLP-181	PLQLPPLERL	76-85	6
7.	CLP-182	PLQLPPLERLIL	76-87	7
8.	CLP-72	ILVESPAVL	102-110	8

**TABLE 2**

HIV-1(LAI)Rev 52-116 lipopeptides/peptide tested

Lipopeptide/ peptide	Construction
CLP-175	K[ <i>Palmitoyl</i> ]SS-RQIHSISERILSTYLGRSAEPVPLQLPPLERLTL- -DCNEDCGTSGTQGVGSPQILVESPAVLESGTKE
CLP-176	K[ <i>cholesterol</i> ]SS-RQIHSISERILSTYLGRSAEPVPLQLPPLERLTL- -DCNEDCGTSGTQGVGSPQILVESPAVLESGTKE
CLP-164	RQIHSISERILSTYLGRSAEPVPLQLPPLERLTL- -DCNEDCGTSGTQGVGSPQILVESPAVLESGTKE (SEQ ID NO:9)

**REFERENCES**

1. Ian A Wilson and Daved H Fremont. Seminars in Immunology, Vol 5, pp 75-80, 1993.
2. Kirsten Falk and Olaf Rotzschke. Seminars in Immunology, Vol 5, pp 81-94, 1993.
3. Victor H Engelhard. Current Opinion in Immunology, Vol 6, pp 13-23, 1994.
4. Salter R D and Creswell P. EMBO J., Vol 5, pp943, 1986.
5. Townsend A. et al. Nature, Vol 340, pp 443, 1989.
6. Yuping Deng et al. Journal of Immunology, Vol 158, pp 1507-1515, 1997.

CLAIMS

What we claim is:

1. A method of generating an HIV-specific cytotoxic T-cell (CTL) response in a host, which comprises:

administering to the host a T-helper molecule to prime T-helper cells of the immune system of the host, and

subsequently administering to the host a mixture of said T-helper molecule and a T-cell inducing HIV-derived molecule to generate an HIV-specific T-cell response in the host.

2. The method of claim 1 wherein said T-helper molecule is selected from HLA class II restricted T-helper epitopes.

3. The method of claim 2 wherein said T-helper epitopes are selected from the group consisting of DP, DR and DQ-specific T-cell epitopes.

4. The method of claim 2 wherein said T-helper molecule is CLP-243 (SEQ ID NO:10).

5. The method of claim 1 wherein said T-helper molecule is administered with an adjuvant.

6. The method of claim 1 wherein said T-cell inducing HIV-derived molecule includes a peptide corresponding to a portion of an HIV-1 antigen and containing at least one T-cell epitope.

7. The method of claim 5 wherein said peptide correspond to sequences of the Rev protein of HIV-1.

8. The method of claim 6 wherein said peptide is a lipopeptide.

9. The method of claim 8 wherein the lipid is palmitoyl or cholesterol.

10. The method of claim 7 wherein said lipopeptide is CLP-175 or CLP-176.

11. The method of claim 6 wherein said mixture is administered with an adjuvant.

12. A peptide having an amino acid corresponding to amino acids 52 to 116 (SEQ ID No:9) of the sequence of the Rev protein of HIV-1 LAI isolate and containing T-cell epitopes within amino acids 63 to 73 (SEQ ID NO:3), 74 to 83 (SEQ ID NO:5) and 102 to 110 (SEQ ID NO:8), or having a corresponding amino acid sequence from another HIV-I isolate.

13. The peptide of claim 12 in the form of a lipopeptide.

14. The peptide of claim 13 wherein the lipid is palmitoyl or cholesterol.

15. The peptide of claim 13 wherein the lipopeptide is CLP-175 or CLP-176.

Stabilization of HLA-A2 molecules on T2 Cells  
by HIV-1(LAI)Rev CTL-motif containing peptides

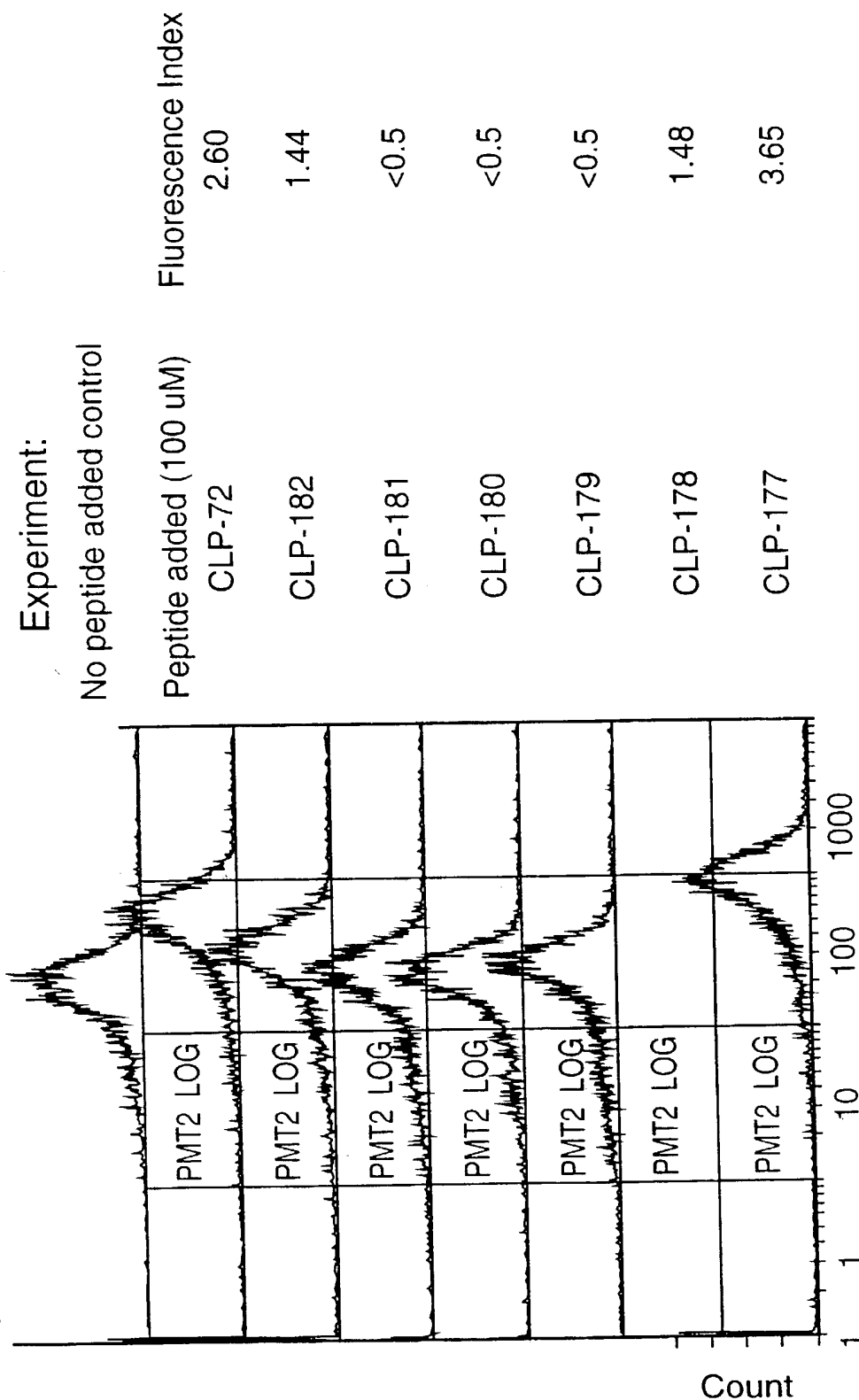
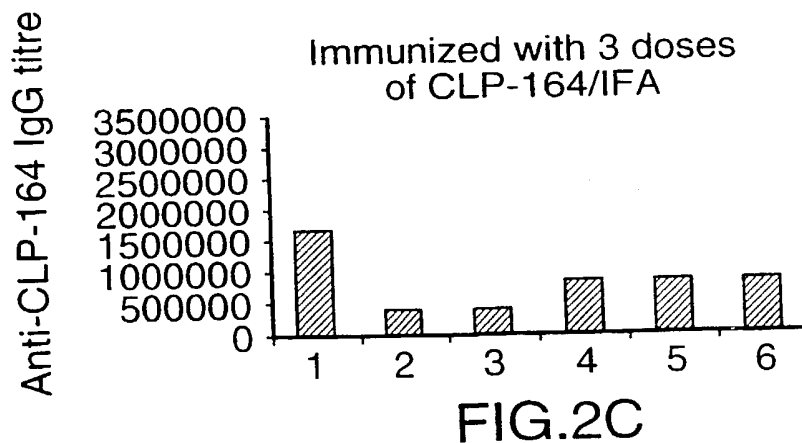
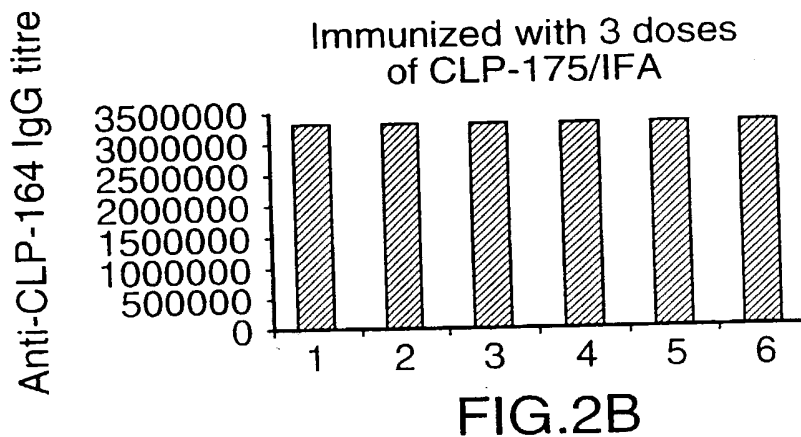
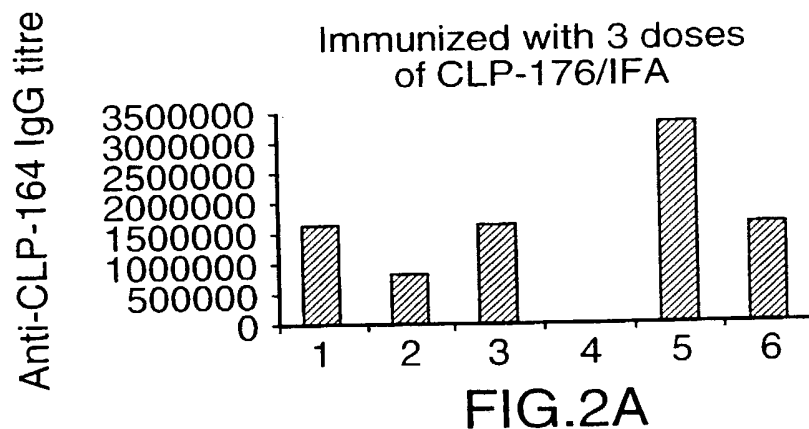


FIG.1



Immunogenicity of HIV-1 (LAI)Rev  
immunogens in A2Kb transgenic mice



Immunogenicity of HIV-1 (LAI)Rev  
immunogens in A2Kb transgenic mice

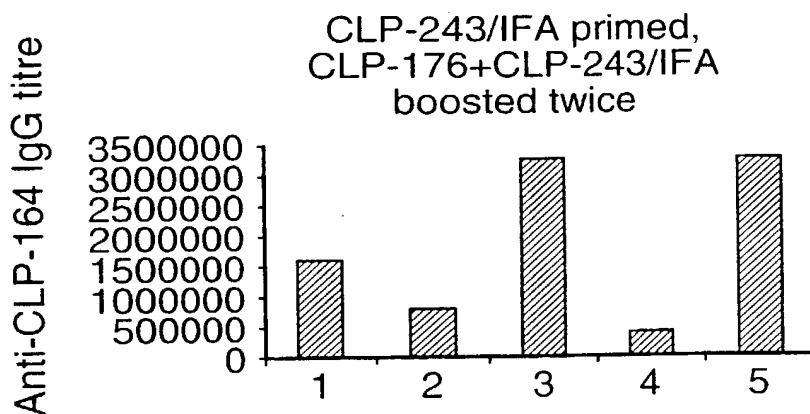


FIG.2D

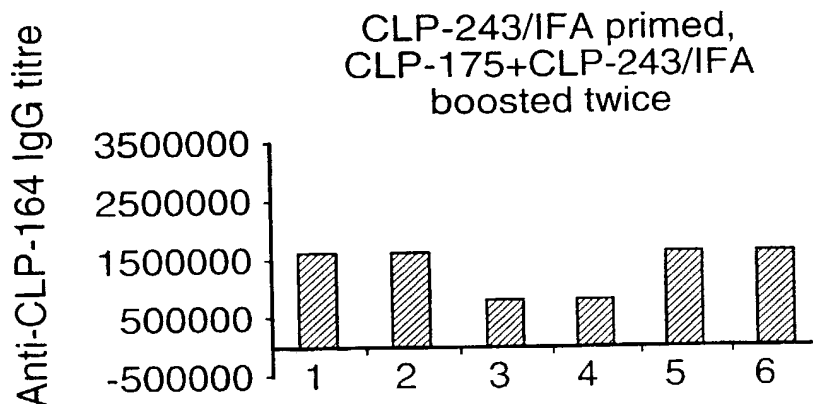


FIG.2E

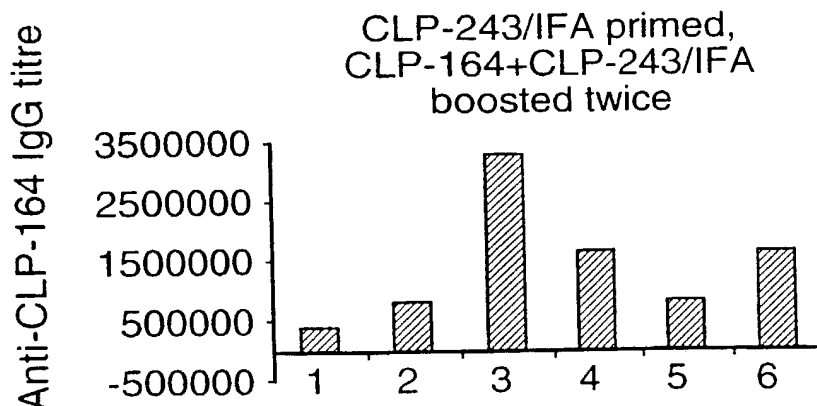
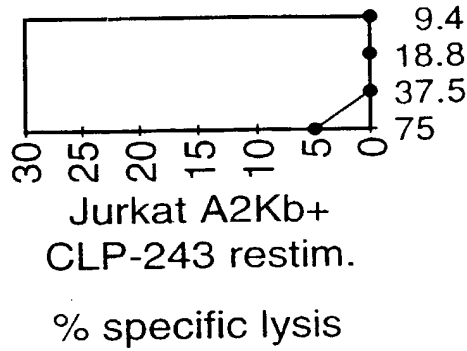


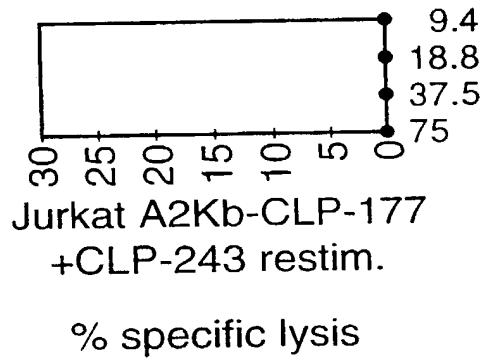
FIG.2F

HIV-1(LAI)Rev-specific CTL induction in A2Kb transgenic mice  
CLP-243/IFA primed, CLP-243+ 175/IFA boosted (2x)

Jurkat A2Kb target

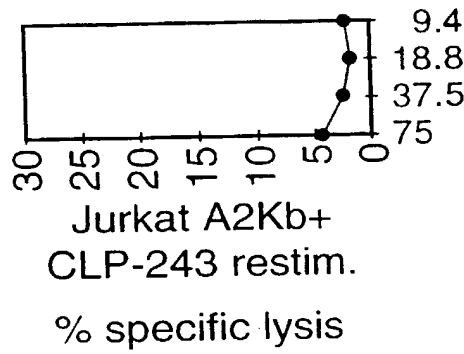


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FIG.3D

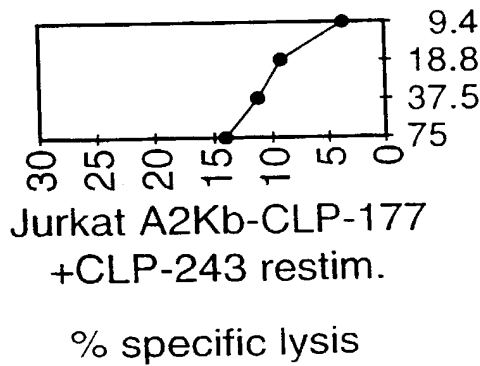


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FIG.3C

Jurkat A2Kb-CLP-177 target



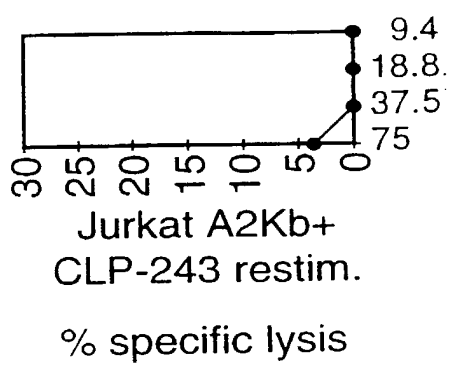
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FIG.3B



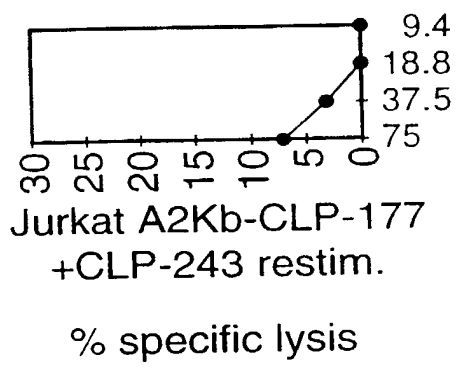
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FIG.3A

HIV-1(LAI)Rev-specific CTL induction in A2Kb transgenic mice  
CLP-243/IFA primed, CLP-243+ 176/IFA boosted (2x)

Jurkat A2Kb target

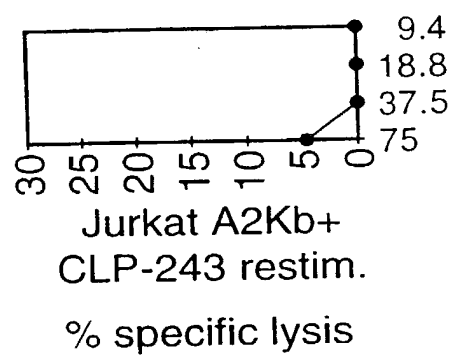


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FIG.3H

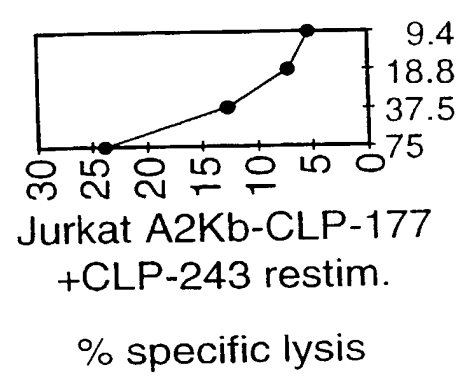


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FIG.3G

Jurkat A2Kb-CLP-177 target



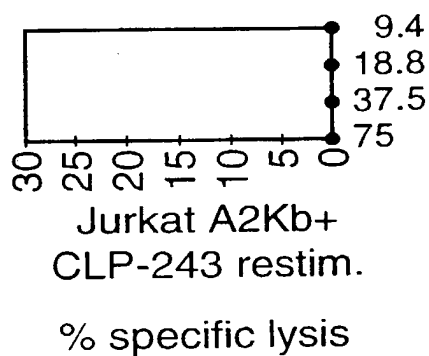
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FIG.3F



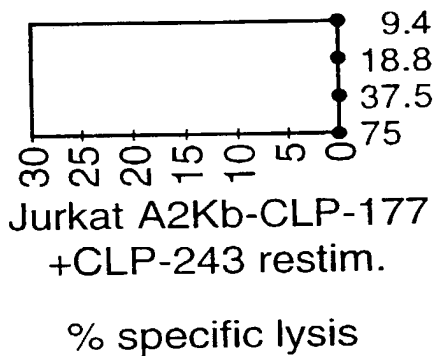
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FIG.3E

HIV-1(LAI)Rev-specific CTL induction in A2Kb transgenic mice  
CLP-243/IFA primed, CLP-243+ 164/IFA boosted (2x)

Jurkat A2Kb target

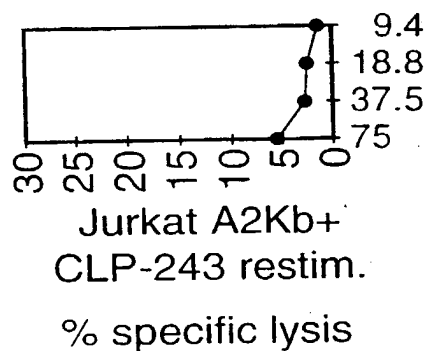


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FIG.3L

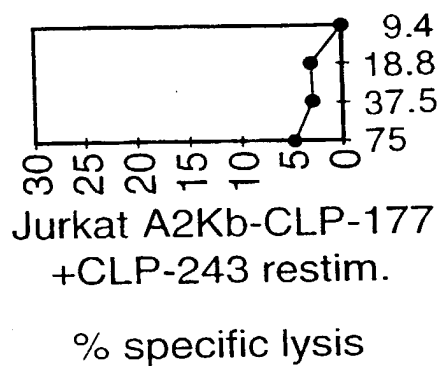


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FIG.3K

Jurkat A2Kb-CLP-177 target



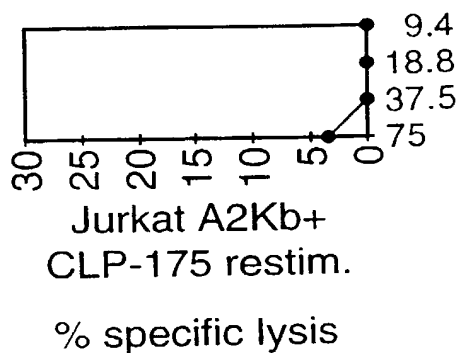
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FIG.3J



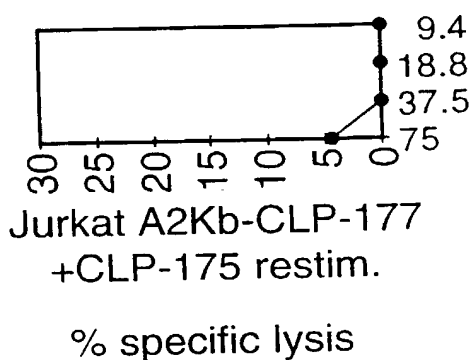
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FIG.3I

HIV-1(LAI)Rev-specific CTL induction in A2Kb transgenic mice  
CLP-175/IFA immunized (3x)

Jurkat A2Kb target

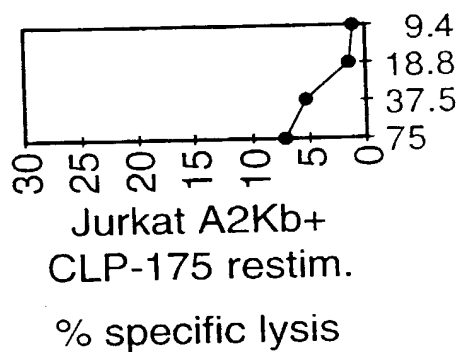


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FIG.3P

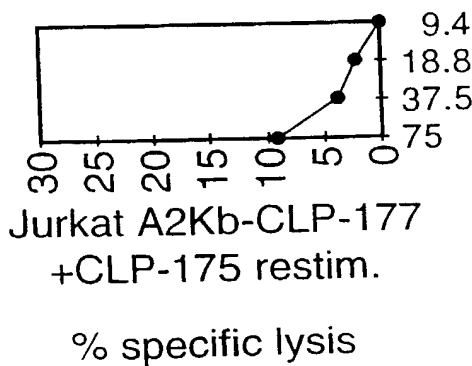


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FIG.3O

Jurkat A2Kb-CLP-177 target



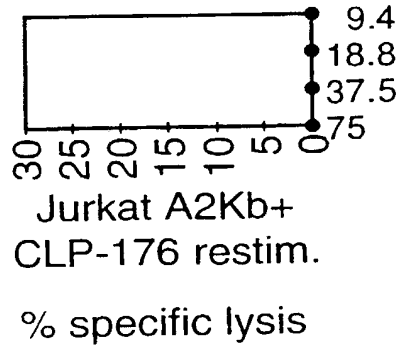
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FIG.3N



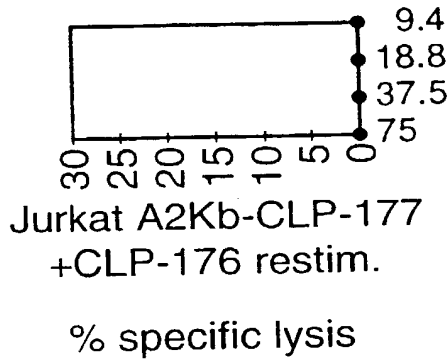
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FIG.3M

HIV-1(LAI)Rev-specific CTL induction in A2Kb transgenic mice  
CLP-176/IFA immunized (3x)

Jurkat A2Kb target

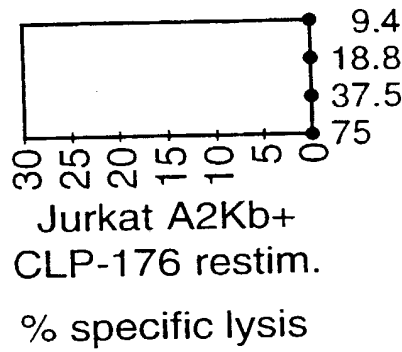


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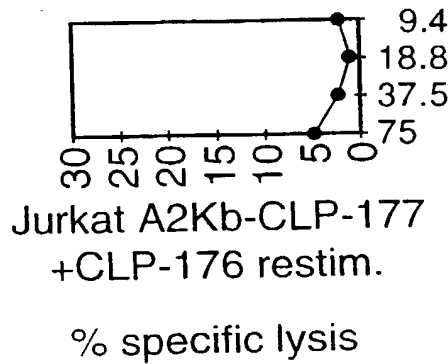


E:T  
FIG.3S

Jurkat A2Kb-CLP-177 target



E:T  
FIG.3R

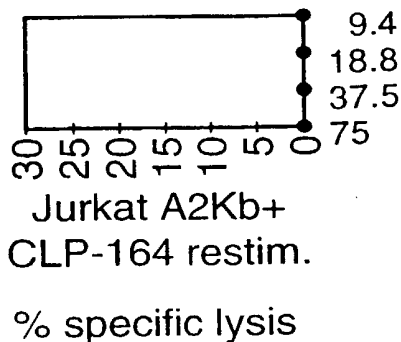


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FIG.3Q

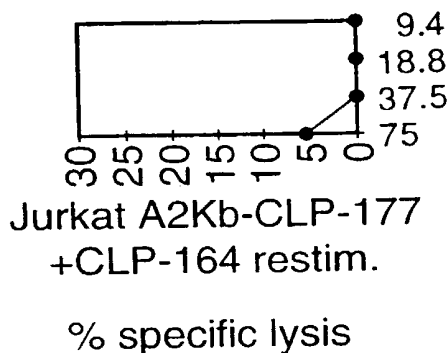
HIV-1(LAI)Rev-specific CTL induction in A2Kb transgenic mice

CLP-164/IFA immunized (3x)

Jurkat A2Kb target

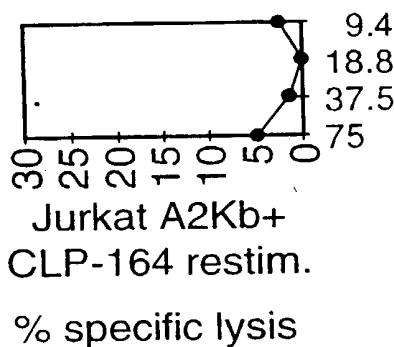


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FIG.3X

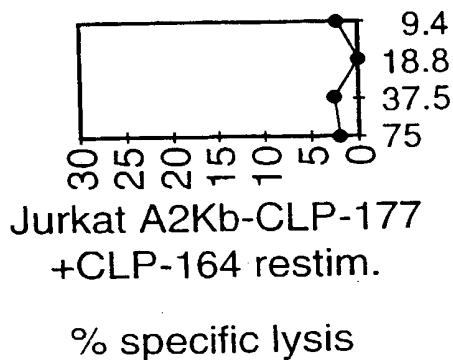


E:T  
FIG.3W

Jurkat A2Kb-CLP-177 target



E:T  
FIG.3V



E:T  
FIG.3U



Docket No.  
1038-1086 MIS:sd

## Declaration and Power of Attorney For Patent Application

### English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on April 7, 1999 as United States Application No. or PCT International Application Number PCT/CA99/00287 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

\_\_\_\_\_  
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(Country)

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(Day/Month/Year Filed)

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\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Michael I. Stewart (24,973)

Send Correspondence to: Sim & McBurney  
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Toronto, Ontario  
Canada, M5G 1R7.

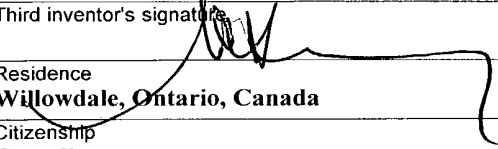
Direct Telephone Calls to: (name and telephone number)  
(416) 595-1155

180

Full name of sole or first inventor <b>Charles D.Y. Sia</b>	
Sole or first inventor's signature	Date
Residence <b>Thornhill, Ontario, Canada</b> CAY	
Citizenship <b>Canadian</b>	
Post Office Address <b>27 Mabley Crescent, Thornhill, Ontario, Canada, L4J 2Z7.</b>	

200

Full name of second inventor, if any <b>Pele Chong</b>	
Second inventor's signature	Date <b>Jan 3/2001</b>
Residence <b>Richmond Hill, Ontario, Canada</b> CAY	
Citizenship <b>Canadian</b>	
Post Office Address <b>32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B6.</b>	

Full name of third inventor, if any <b>Michel H. Klein, on behalf of Charles D.Y. Sia and Pete Chong</b>	
Third inventor's signature 	Date <b>April 4, 2001</b>
Residence <b>Willowdale, Ontario, Canada</b>	
Citizenship <b>Canadian</b>	
Post Office Address <b>16 Munro Boulevard, Willowdale, Ontario, Canada, M2P 1B9</b>	
<b>54 Strathgowan Avenue, Toronto M4N 1B9</b>	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Docket No.  
1038-1086 MIS

## Declaration and Power of Attorney For Patent Application

### English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**HIV-SPECIFIC CYTOTOXIC T-CELL RESPONSES**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on April 7, 1999 as United States Application No. or PCT International Application Number PCT/CA99/00287 and was amended on \_\_\_\_\_

(if applicable)

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Prior Foreign Application(s)

Priority Not Claimed

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(Number)

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(Country)

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(Day/Month/Year Filed)

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\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

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(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

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**09/055,744**

**April 7, 1998**

**Pending**

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

**PCT/CA99/00287**

**April 7, 1999**

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**Michael I. Stewart (Registration No. 24,973)**

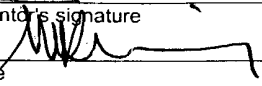
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